BEING DORA COMPLIANT: WHAT YOU NEED TO KNOW

The Digital Operational Resilience Act is major EU legislation for financial servicesfirms due to come into effect next year.

How will you keep the wheels turning?

COMPLIANCE DEADLINE: JANUARY 17 2025

1 DEFINE A DIGITAL OPERATIONAL RESILIENCE STRATEGY

- Establish ICT Tolerance
- Resilience Testing
- IT Hygiene Best Practices
- Comms Strategy

✓ Tier vendor risk by confidentiality, integrity & availability

VENDOR RISK MANAGEMENT
Our dedicated solutions & capabilities

2 ESTABLISH A COMPREHENSIVE & WELL-DOCUMENTED ICT RISK MANAGEMENT FRAMEWORK

- Three Lines of Defense Model
- ICT Change Management Policy
- Access Restriction Policies

✓ Risk plan tailored to your short-, medium- & long-term goals

✓ a comprehensive view across vulnerability & risk assessments to help you follow defined procedures

RISK MITIGATION PLANNING INFORMATION SECURITY POLICY SUITE
Our dedicated solutions & capabilities

3 PUT A BUSINESS CONTINUITY POLICY IN PLACE

- Comprehensive Backup Policies & Procedures
- Crisis Comms
- Annual Testing

✓ Maintain resiliency levels with real-world security data

GOVERNANCE PROGRAM MANAGEMENT
Our dedicated solutions & capabilities

4 MONITOR & TEST YOUR DIGITAL OPERATIONAL RESILIENCE

- Penetration Testing
- Network Segmentation
- User Training
- Bug Bounty Programs

✓ Ongoing management of security findings

✓ Weekly internal & external scans across endpoints, network devices & apps

VULNERABILITY SCANNING SECURITY AND RISK ASSESSMENTS
Our dedicated solutions & capabilities

ONE OF 22,000+ EU FINANCIAL ENTITIES + SUPPORTING ICT ARCHITECTURE

VENDOR RISK MANAGEMENT

⚠ Potential individual fine: €1,000,000

RISK MITIGATION PLANNING INFORMATION SECURITY POLICY SUITE

⚠ Potential fine for third-party ICTs: €5,000,000

YOUR FIRM

1. DEFINE A DIGITAL OPERATIONAL RESILIENCE STRATEGY
2. ESTABLISH A COMPREHENSIVE & WELL-DOCUMENTED ICT RISK MANAGEMENT FRAMEWORK
3. PUT A BUSINESS CONTINUITY POLICY IN PLACE
4. MONITOR & TEST YOUR DIGITAL OPERATIONAL RESILIENCE

GOVERNANCE PROGRAM MANAGEMENT

VULNERABILITY SCANNING SECURITY AND RISK ASSESSMENTS

⚠ Potential fine for firms: 2% of total annual worldwide turnover

⚠ Potential punishment for violation: suspension of company operations

KEEP YOUR BUSINESS RUNNING SMOOTHLY AND SECURELY WITH ECI

DORA principles are already built into our solutions and capabilities (including SEC regulations, SOC2, ISO 27001, NIST, CSF, and NIS2). We can galvanize your digital operational resiliency every step of the way.

READY TO BECOME MORE OPERATIONALLY RESILIENT?

Contact ECI today for assured business acceleration through technology.

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